ETF Trade Ticket

B2B BANK FINANCIAL SERVICES

SERVICE STANDARD FOR EXCHANGE-TRADED FUNDS (ETF) TRADING – Fills are not guaranteed for trade requests made online, by phone or fax. This trade ticket will expire at the end of the current trading day and only fills processed up to the time of that expiry will be posted. Please ensure your dealer supports ETF transactions and you comply with your dealer's requirements to transact on ETFs.

TRADE FEES: $35 PER TRADE PLACED ON ADVISOR ACCESS (www.b2bbank.com/advisoraccess)
$50 PER TRADE PLACED BY PHONE (416.413.7201 / 1.866.542.3403) or FAX (416.413.0733)

1. ACCOUNT INFORMATION

CLIENT LAST NAME ______ CLIENT FIRST NAME ______ ACCOUNT NUMBER ______ DEALER # ______ ADVISOR # ______

DEALER NAME ______ ADVISOR NAME ______ FAX # ( ) -

2. TRADE DETAILS

All trade requests submitted after 3pm ET will be processed on a best effort basis for that day's trade date.

A) ☐ BUY OR ☐ SELL

ETF NAME: ______ **ETF SYMBOL:** ______ **MARKET:** Canadian

For a complete list of eligible ETFs, visit b2bbank.com/ds/etfs.

B) # OF UNITS ______ (Please indicate a numerical value only)

C) PRICE: ☐ MARKET OR ☐ LIMIT $ ______

Select "MARKET" to buy or sell at the best price available at the time the order is entered, otherwise select "LIMIT" to buy or sell at a specified price valid until the end of the current trading day. Note: Limit orders are not guaranteed to be fully or partially filled

D) ☐ CLIENT OR ☐ PRO

Select "PRO" if the client is a registered IIROC representative, otherwise, select "CLIENT"

E) ☐ SOLICITED OR ☐ UNSOLICITED

Select "SOLICITED" if you (Advisor) initiated / recommended the ETF transaction with your client, otherwise, select "UNSOLICITED"

F) COMMISSION $ ______ (Please specify value)

Not applicable for Fee for Service accounts

TO CANCEL A TRADE

Please note: Cancel trade requests should be made by calling our Trading Desk at 1.866.542.3403. All cancel trade requests received after 3pm ET will be processed on a best effort basis for that day's trade date. Previous fills cannot be cancelled.

DATE OF ORIGINAL TRADE REQUEST: ___/___/______ (mm/dd/yyyy) # OF UNITS: ______

ETF NAME: ______ ETF SYMBOL: ______

ADVISOR/AUTHORIZED DEALER SIGNATURE: ______ DATE ___/___/______ (mm/dd/yyyy)

3. CONFIRMATION

All trade status updates and confirmations can be viewed and printed online through Advisor Access in the Web Trading Section. If you are not registered for Advisor Access, please contact Client Services at 1.800.387.2087.

4. AUTHORIZATION

By completing and signing this form, you authorize B2B Bank Financial Services Inc. to place the purchase, sale or cancellation of the specified ETF noted on this trade ticket

CLIENT'S SIGNATURE (if required by your dealer) ______ DATE (mm/dd/yyyy) ___/___/______ ADVISOR/AUTHORIZED DEALER SIGNATURE ______ DATE (mm/dd/yyyy) ___/___/______

B2B BANK FINANCIAL SERVICES INC. ("B2BBFSI") INTERNAL USE ONLY

OMNIBUS ACCOUNT #: 10A / 11A ______

	FSI	SSI
INTERNAL DEALER CODE		
ENTERED/APPROVED		
EXT.		

Broker Order # : ______ **CPS # :** ______